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## Moving forward in clinical trials for ALS: motor neurons lead the way please

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### Abstract

Amyotrophic lateral sclerosis (ALS) is one of the most complex motor neuron diseases. Even though scientific discoveries are accelerating with an unprecedented pace, to date more than 30 clinical trials have ended with failure and staggering frustration. There are too many compounds that increase life span in mice, but too little evidence that they will improve human condition. Increasing the chances of success for future clinical trials requires advancement of preclinical tests. Recent developments, which enable the visualization of diseased motor neurons, have the potential to bring novel insight. As we change our focus from mice to motor neurons, it is possible to foster a new vision that translates into effective and long-term treatment strategies in ALS and related motor neuron disorders (MND).

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ALS and related MND are considered 'orphan' diseases, yet the devastating nature of the disease and the cost associated with patient care have kept drug companies interested in identifying compounds that improve patient health and quality of life. However, despite all sincere efforts, drug discovery for MND has been the source of deep frustration.

Identification of the *G93A* mutation in the gene encoding super oxide dismutase (*SOD1*) as one of the causes of ALS marked an important milestone for ALS genetics 20 years ago [1]. A genetic cause for approximately 10–20% of familial ALS (fALS) was identified. Generation of mouse models that overexpress the mutant form of the human *SOD1* gene, such as the hSOD1<sup>G93A</sup> mice [2], has been revolutionary for preclinical studies [3]. Extension of life span in mouse models of ALS has been accepted as one of the major criteria before moving into clinical trials [4]. During early ages of drug discovery, only compounds that significantly improved longevity in hSOD1<sup>G93A</sup> and other mouse models of ALS were considered for clinical trials.

To date, numerous compounds have enhanced the life span of hSOD1<sup>G93A</sup> mice and have improved their overall health. However, only riluzole has received US Food and Drug Administration (FDA) approval, although it extends patient survival only by 3–4 months without improving their quality of life [5,6]. Since riluzole, the drug discovery field has faced constant failures over the years. By contrast, the molecular, genetic and cellular basis of the disease is beginning to emerge [7–13]. In addition, novel *in vitro* technologies are being developed for high-throughput prescreening of compounds before moving into clinical trials [14,15]. However, none of these developments has yet translated into success in clinical trials. Induced pluripotent stem cell (iPSC)-derived motor neurons generated from mouse models of the disease and cells isolated from patients offer great advantages for their ability to mimic many aspects of diseased spinal motor neurons in culture [14,16]. The application of these cells in drug discovery efforts has been recently reviewed [17].

Here, we focus our attention on *in vivo* models, propose a shift in critical thinking from mouse survival to neuron biology, and discuss the importance of revealing the upper motor neuron survival requirements before moving into clinical trials. Now is the time to assess the limitations of the past, and there are questions that await answers: (i) are clinical trials really failing? (ii) Is the extension of life span in mice a dependable readout for potential success in clinical trials? and (iii) can preclinical screening be improved?

### Are clinical trials really failing?

Clinical trials have not yet resulted in favorable outcomes, but they are far from being a ‘failure’. Immense effort has been put into their methodological design and outcome measures, and they have been constantly improving with new considerations [18,19]. Although methodologies are of high quality, the limited number of patients and the unknown factors that cause pathology in patients add to the complexity. In addition, the absence of direct translation from mice to humans raises a valid concern: ‘are these really the correct compounds to be used in clinical trials?’

The heterogeneous and complex nature of the disease is well known [20,21], and the mechanisms underlying motor neuron vulnerability are beginning to emerge. For example, neuroinflammation, glutamate-mediated excitotoxicity, defects in protein folding, mitochondrial dysfunction and oxidative stress have been identified as prominent drug targets for ALS/MND [22]. Therefore, compounds targeting these distinct pathways have been tested in clinical trials. Celecoxib, minocycline, thalidomide and lenalidomide target neuroinflammation [23–26], riluzole and ceftriaxone act mainly upon glutamate-mediated excitotoxicity [27,28], arimoclomol targets protein folding [29,30] and ederavone and AEOL-10150 are antioxidants against superoxide-mediated damage [31–33]. Owing to the absence of early detection markers and proper biomarkers for the disease, numerous patients who are at different stages and who develop the disease potentially because of different underlying causes are included in the same study. Thus, it is hard to interpret the result of such studies. In addition, the field suffers from the lack of presumed negative clinical data, which is different from the null result (i.e. data that do not affect the outcome).

In a clinical trial, the patients who develop the disease mainly because of defects in a particular pathway would display greatest benefit from the compounds that selectively target that pathway. Interestingly, in almost all clinical trials, a subset of the patient population showed improved condition. However, their numbers have been mostly limited, because none of the compounds displayed an overarching affect on most patients. It is possible that each clinical trial has been successful within only a select subset of the patient population. It is also important to remember that ALS is a multifactorial disease, and it might be unrealistic to imagine that one compound will have a broad spectrum of efficacy on pathologies that are widespread and, at times unrelated. Therefore, we suggest that the future of clinical trials should include combinatorial studies, and that patients who display improvement in their condition should not be considered as ‘outliers’, because they might indeed represent the target population, especially for the compounds tested.

The centralization of patient data and the forming of centers that include many different institutions and universities have been revolutionary [34]. Clinical trials have evolved into multicenter methods, joining patient information and data from several centers into one clinical trial to meet the challenges and logistics of patient population [e.g. NEALS consortium, <http://www.alsconsortium.org>; and Network for Excellence in Neuroscience Clinical Trials (NeuroNEXT), [http://www.ninds.nih.gov/news\\_and\\_events/proceedings/20101217-NEXT.htm](http://www.ninds.nih.gov/news_and_events/proceedings/20101217-NEXT.htm)]. This not only increases the patient number for each study, but also allows further investigation of potential ways of stratifying them into proper groups (e.g. site of onset, rate of progression, age of onset, sex, genetic background and mutation information).

Grouping patients based on the molecular and cellular basis of their disease pathology is a real challenge, and one that is not currently possible. However, there are important developments towards this goal. The identification and characterization of early detection markers in ALS, and the establishment of dependable biomarkers for disease progression, is an active area of research [35]. Such studies either use DNA and gene expression profiles of patients who responded positively in a select set of clinical trials, or perform proteomics studies on blood, plasma and cerebrospinal fluid (CSF), obtained from patients and control subjects. With the identification of biomarkers and early detection markers, both the selection and inclusion criterion for clinical trials will be improved. Although these developments would advance the outcome measures, because most of the drug targets are determined based on the information obtained from mouse models of the disease, one of the most important question remains: ‘are these really the correct compounds to be used in clinical trials?’

## **Is the extension of life span in mouse a dependable readout for potential success in clinical trials?**

Since the generation of the hSOD1<sup>G93A</sup> transgenic ALS mouse model, which recapitulates many aspects of the disease pathology observed in patients with ALS, including progressive degeneration of both spinal (SMN) [2] and corticospinal motor neurons (CSMN) [36,37] and increased astrogliosis [38], the model has become one of the major *in vivo* tools for compound screening. However, failure after failure in more than 30 clinical trials started

questioning the validity of life-span extension in mice as a readout for success in clinical trials [4,8,39]. It is possible that poor lab-to-lab reproducibility also contributed to ineffective translation from animal models to clinical efficacy. Although important information was gained from using disease models, the drug industry started to lose interest in their use as a tool to inform the potential efficacy of compounds for patient survival. Are the mouse models to be blamed for the lack of straightforward translation? We believe that it is not the mouse to be blamed, but our unrealistic expectation.

Numerous clinical trials were initiated using the animal models for preclinical screening (Table 1). The compounds tested targeted pathways such as mitochondrial dysfunction, oxidative stress, protein folding, autophagy, apoptosis, neuroinflammation and glutamate excitotoxicity. Here, we review these studies, focusing particularly on their neuroprotective effects on SMN and their overall effects on the life expectancy of the mouse models.

## Neurotrophins

Neurons depend on neurotrophins and growth factors for survival. Of these, insulin-like growth factor (IGF)-1, vascular endothelial growth factor (VEGF) and brain-derived neurotrophic factor (BDNF) are the most studied for their potential role as ‘drugs’ for MNDs.

IGF-1 is one of the most extensively studied growth factors for MNDs. It was delivered to SMN via adeno-associated virus (AAV) retrograde transduction from the leg muscle at the time of disease symptom onset in hSOD1<sup>G93A</sup> mice, providing a 78% increase in SMN numbers at 110 days, but failed to show difference at end-stage [40]. IGF-1 treatment delayed disease onset by 31 days, extended the life span by 22 days, attenuated astrogliosis [41] and improved motor function, as measured by grip strength and rotarod performance [40]. Remarkably, IGF-1 prevents glutamate-induced motor neuron death in rat spinal cord cultures [42], and enhances axon outgrowth of CSMN selectively *in vitro* [43]. These encouraging findings led to the initiation of an initial double-blind, placebo-controlled, randomized study of 266 patients. The recombinant human IGF-1 (rhIGF-1) slowed the progression of functional impairment and the decline in health-related quality of life in patients with ALS [44]. However, another randomized double-blind study of 183 patients failed to show any significant difference between the treatment groups, as assessed by the Appel ALS rating scale [45]. Finally, the Phase III randomized, double-blind, placebo-controlled study of 330 patients, after 2 years of treatment with subcutaneous rhIGF-1 injection, failed to yield any difference between treatment groups in their manual muscle testing score or tracheostomy-free survival and rate of change in the revised ALS functional rating scale (ALSFRS-R) [46]. These conflicting findings in different clinical trials initiated debates on the delivery method of IGF-1, its half-life and stability in patients and the selection criteria for patient inclusion in studies.

An initial double-blind, placebo-controlled Phase I/II study of recombinant human BDNF delivered via daily subcutaneous injections was encouraging [47]. BDNF was not only well tolerated, but also seemed to improve forced vital capacity, walking speed and even survival. However, a randomized, double-blind, placebo controlled parallel-group phase III study with 1135 patients failed to show any improvement in either the primary end points

(change from baseline forced vital capacity and survival at 9 months) or the secondary end points (incidence of selected respiratory events, ALSFRS, Sickness Impact Profile physical dimension score, syllable repetition, walking speed and Ashworth spasticity score) [48]. More recently, intrathecal infusion of recombinant methionyl human BDNF (r-metHuBDNF) has been shown to be safe and well tolerated in a phase I/II trial [49]; however, it lacked clinical efficacy in a multicenter phase III trial [50]. Transplantation of human neural progenitor cells engineered to express BDNF using adenoviral vectors to hSOD1<sup>G93A</sup> mice had no significant effect on motor performance or life span of the mice [51]. Intramuscular injections of naked DNA encoding BDNF into hSOD1<sup>G93A</sup> mice also failed to improve motor function, life span, weight loss or the number of motor neurons in the lumbar spinal cord [52].

VEGF delivery using lentiviral vectors in hSOD1<sup>G93A</sup> mice led to as high as a 125% increase in the numbers of lumbar SMN at 115 days [53]. VEGF treatment also significantly increased the life span and slowed down motor performance defects, as detected by gait analysis. Clinical studies investigating the safety and tolerability of VEGF in patients with ALS (NCT00800501) and a VEGF activator SB-509 (NCT00748501) are now complete, but lack detailed information.

The potential problem with the administration of growth factors is the lack of control over the stability and half-life of proteins in human CSF and/or plasma. Therefore, finding the perfect route of administration that enables constant and sustainable levels of growth factors is key for success.

## Mitochondrial dysfunction

Mitochondrial function is one of the major pathways that is affected in diseased motor neurons. Creatine treatment was reported to offer neuroprotection for SMN in hSOD1<sup>G93A</sup> mice, with a significant increase in life span and enhanced rotarod performance [54], but failed to improve SMN numbers in another study [55]. A double-blind, placebo-controlled, sequential clinical trial testing the effects of creatine monohydrate on survival and disease progression in 175 patients with ALS reported its safety, but did not improve survival or functional measurements of isometric arm strength, forced vital capacity, functional status and quality of life [56]. Another randomized double-blind, placebo-controlled trial of 104 patients with ALS also showed that creatine monohydrate was well tolerated, but did not improve maximum voluntary isometric contraction, grip strength, ALSFRS-R or motor unit number estimates [57].

Dexramipexole, a mitochondrion-targeted antioxidant, increased the life span of hSOD1<sup>G93A</sup> mice by 7 days without any obvious motor function benefits [58]. A two-part, multicenter, double-blind Phase II study of dexramipexole in patients with ALS showed that it was safe and well tolerated [59]. *Post hoc* analysis of data suggested a reduction in ALSFRS-R decline [60], although the difference was not significant. A Phase III study (NCT01622088) has recently been terminated because it failed to meet its primary efficacy end point.

## Antioxidants

Treatment with ederavone (MCI-186), a free-radical scavenger, provided significant neuroprotection in hSOD1<sup>G93A</sup> mice, without significantly extending their life span [32]. Ederavone also significantly enhanced motor function as assessed by rotarod and grip strength tests, and led to a reduction in abnormal SOD1 deposits in the spinal cord. A phase II clinical study, using 20 subjects, reported safety and delayed progression of disease, as measured by ALSFRS-R [61]. A Phase III study looking at the safety and efficacy of ederavone in patients with ALS has also been completed (NCT00424463).

AEOL 10150 (manganese porphyrin) treatment of hSOD1<sup>G93A</sup> mice starting at disease onset led to an 53% increase in SMN number [31], whereas starting the treatment after the appearance of motor dysfunction led to a 38% increase [33]. Additionally, life span increased with slow disease progression and enhanced motor function, as evidenced by the lack of complete hind-limb paralysis and significantly improved rotarod performance [31,33]. A phase I clinical trial of AEOL 10150 also proved subject tolerance [62].

## Protein folding

Treatment of hSOD1<sup>G93A</sup> mice from an early age with arimoclomol, a co-inducer of heat shock proteins, led to a 74% increase in SMN numbers, significantly increased life span by 22%, and delayed disease onset by 23 days [30]. Late-stage treatment of hSOD1<sup>G93A</sup> mice starting at 90 days also increased SMN numbers by 96%, improved muscle force, but did not increase life span [29]. A phase IIa clinical study of arimoclomol in 44 patients showed that doses up to 300 mg/day were safe and well tolerated [63]. A phase II/III randomized, placebo-controlled trial of arimoclomol in SOD1-positive familial ALS is currently recruiting patients (NCT00706147) [64].

## Autophagy

A pilot study of lithium carbonate, an inducer of autophagy, showed an impressive level of neuroprotection in hSOD1<sup>G93A</sup> mice [65]. Additionally, lithium increased life span by 36%, delayed disease onset and improved rotarod performance, grip strength and stride length significantly. Clinical testing of lithium on a small group of patients (total of 44) slowed disease progression [65,66]. However, another study using hSOD1<sup>G93A</sup> mice on both C57 and 129 Sv backgrounds found no neuroprotective effect of lithium on SMN numbers. Moreover, both life span and disease onset were decreased, and motor performance worsened [67]. Similarly, a multicenter, single-blind, randomized, dose-finding trial of 171 patients found that lithium was not well tolerated, and did not offer any improvement in survival or quality of life [68]. Another double-blind, placebo-controlled clinical trial of 84 patients (NCT00818389) did not raise concerns for safety, but was stopped after 6 months because criterion for futility was met with no difference in mean decline in the ALSFRS score [69].

Rapamycin, a more specific inducer of autophagy compared with lithium, has also been tested in animal models. In hSOD1<sup>G93A</sup> mice, rapamycin treatment led to a 34% decrease in SMN number, with a significantly decreased life span, earlier disease onset and shorter

disease duration [70]. Interestingly, rapamycin treatment of a TDP43 fronto temporal lobe degeneration mouse model significantly enhanced rotarod performance without an effect on SMN numbers [71].

## Apoptosis

GAPDH ligand TCH346 (CGP 3466B), an inhibitor of apoptosis, did not offer neuroprotection in hSOD1<sup>G93A</sup> mice, and had no effect on disease onset, progression or life-span [72]. Similar to preclinical findings, a randomized, double-blind, placebo-controlled clinical trial of 591 patients with ALS yielded no significant differences in the mean rate of decline of ALSFRS-R, survival, pulmonary function or manual muscle testing [73].

Treatment with the histone deacetylase inhibitor sodium phenylbutyrate (PBA) led to a 122% increase in SMN number in hSOD1<sup>G93A</sup> mice, extended life span significantly and improved motor performance, as measured by rotarod and stride-length analysis [33,74]. However, treatment with zVAD-fmk, a broad caspase inhibitor, had no significant effect on lumbar SMN numbers, but led to significantly higher numbers of cervical SMN, delayed disease onset and prolonged survival [75].

## Inflammation

Upon identification of non-neuronal cells as contributors to disease initiation and progression, another area of research has developed that targets non-neuronal cells to improve motor neuron health. Celecoxib, a cyclooxygenase 2 (COX-2) inhibitor, reduces production of prostaglandin E2. When administered to hSOD1<sup>G93A</sup> mice starting at postnatal day P28, celecoxib provided significant neuroprotection of both small and large SMN at 15 weeks and end-stage, increased life span of the mice by 28 days, significantly delayed decline of motor activity and prevented weight loss [23]. A double-blind, placebo-controlled clinical trial using 300 subjects revealed that celecoxib was safe and well tolerated, although it did not have a beneficial effect on the rate of change in upper extremity motor function as measured by maximum voluntary isometric contraction strength, vital capacity, motor unit number estimates, ALSFRS-R or survival [76].

Thalidomide and lenalidomide, inhibitors of tumor necrosis factor alpha (TNF- $\alpha$ ) and other cytokines, led to significant increases in the life span and number of SMN in hSOD1<sup>G93A</sup> mice, attenuated weight loss and enhanced motor performance on rotarod testing [24,77]. A phase II study using thalidomide for treatment of ALS (NCT00140452) revealed no improvement in the ALSFRS or pulmonary function, but did highlight several adverse effects [78].

Minocycline, which inhibits microglial activation, provided SMN neuroprotection in both hSOD1<sup>G93A</sup> [26] and hSOD1<sup>G37R</sup> [25] mice, but offered no neuroprotection when administered after disease onset [79]. Minocycline also delayed decline in rotarod performance, disease onset and mortality, but had no effect on astrogliosis [25,26] or even increased microgliosis [79]. A multicenter, randomized, placebo-controlled phase III trial using 427 patients revealed that minocycline had harmful effects on patients with faster deterioration in ALSFRS-R, forced vital capacity and manual muscle testing scores, as well

as greater mortality during the 9-month treatment phase, with no improvement in quality-of-life scores [80].

## Glutamate excitotoxicity

Glutamate is removed by astrocytes from the synapses, and failure of proper glutamate removal results in glutamate excitotoxicity, a major pathway that leads to motor neuron degeneration.  $\beta$ -Lactam antibiotics, such as ceftriaxone, increased expression of the glutamate transporter GLT1 (EAAT2), offering neuroprotection from glutamate excitotoxicity. In hSOD1<sup>G93A</sup> mice, ceftriaxone treatment led to a significant increase in SMN numbers and increased the life span of the mice by 10 days, as well as resulting in a reduction of hypercellular gliosis and a significantly delayed loss of muscle strength and body weight [28]. A phase III clinical trial of ceftriaxone in patients with ALS has been completed (NCT00349622). AMPA antagonist ZK 187638 treatment of hSOD1<sup>G93A</sup> mice led to a 27% increase in the number of lumbar SMN, extended life span, and significant delayed weight loss and motor function impairment [81].

Riluzole has been the only compound to receive FDA approval and has been extensively reviewed elsewhere [82,83]. Interestingly, it was found to be beneficial for patients with ALS in clinical trials [5,6] before investigation in animal models. Riluzole treatment delayed disease onset by 12 days, preserved motor function and extended life span by 2 weeks in hSOD1<sup>G93A</sup> mice, and proved beneficial in other mouse models of MND, such as progressive motor neuronopathy, spinal muscular atrophy and the wobbler mouse [27,84–86]. Analysis of SMN in hSOD1<sup>G93A</sup> mice interestingly revealed no change in the numbers of medium- or large-sized lumbar SMN between treatment groups [55].

The review of the past and current studies using compounds that selectively act on a distinct pathway, has revealed differences between clinical trials, generated more questions on the selection of compounds, their route of administration and the validity of mouse models, and has also generated concern about the translation of preclinical results to human condition. However, the inclusion of SMN survival data has been promising towards the goal of improving preclinical screening.

## Can preclinical screening be improved?

Mice and humans differ greatly, and there is mounting evidence to suggest that the use of mice as a model system, especially for immune diseases [87], is not appropriate. Therefore, increased life span in mice might not translate into increased survival in patients, and readouts based on mouse survival might be misleading. In contrast to their differences at the organismal and systems level, their cells, especially neurons, have much in common. A motor neuron in a mouse is similar to a motor neuron in a patient. Their development, maturation, mechanisms of their cellular function and intricate details of their neuronal properties are almost identical [88]. Such striking similarities at a cellular level prompt us to propose that focusing on the motor neuron requirements for survival could reveal information that can translate toward improvement of the human condition.



## Focusing on the health of SMN in preclinical screening

The importance of a paradigm shift from mouse to motor neurons has recently been recognized. The ALS/MND consensus meeting agreed upon the need for neuroprotection analysis on SMN as a preclinical test and recommended including the 'assessment of the motor neurons present throughout the lumbar region of the spinal cord' [4]. Therefore, more recent preclinical studies include the analysis of SMN survival and health using histological staining of the spinal cord sections isolated from mouse models at different stages of disease. The presence and number of SMN cell bodies were quantitatively assayed to determine the efficacy of the tested compounds on SMN survival. Inclusion of motor neuron survival at a cellular level is an improvement toward making the right decision before clinical trials.

## Inclusion of upper motor neuron health in preclinical screening

In ALS, it is the motor neuron circuitry that degenerates, which involves the motor neurons, located in the motor cortex, brainstem and the spinal cord [2,36,37]. Humans are especially dependent on their cortex for the initiation and modulation of voluntary movement. CSMN, which have a unique executive function with their ability to collect, integrate, translate and transfer the input of the cerebral cortex to spinal cord targets, act as the 'spokesperson' of the cerebral cortex for motor neuron circuitry. This unique ability makes them an important target for the health and stability of motor function in patients. For example, CSMN death and defects in corticospinal tract lead to paralysis in patients, but only a minor inability to control precise aspects of voluntary movement in mice. These behavioral dissimilarities result from differences between descending paths and other neuronal connectivity patterns [89]. Therefore, using mice as a model system for MND comes with challenges.

However, because of the importance of CSMN for motor function in patients with ALS, we need to focus on the survival requirement of this neuron population. A novel reporter mouse, the UCHL1-eGFP mouse, was recently generated in which CSMN were selectively labeled by eGFP expression under the control of ubiquitin C-terminal hydrolase-L1 (*UCHL1*) promoter (Fig. 1) [37]. Even though eGFP expression is specific to CSMN in the motor cortex at all ages, in the spinal cord, eGFP expression becomes restricted to small-diameter  $\alpha$ - and  $\gamma$  SMN, which are resistant to degeneration in ALS [90]. Crossbreeding UCHL1-eGFP and hSOD1<sup>G93A</sup> mice generated hSOD1<sup>G93A</sup>-UeGFP mice, which mimic the previously reported reduction in the number of CSMN with disease progression in the hSOD1<sup>G93A</sup> mice [36].

The hSOD1<sup>G93A</sup>-UeGFP reporter line now enables detailed cellular investigation of CSMN health throughout the disease, as well as direct investigation of neuroprotection provided by compound treatment in animal models of ALS/MND [37]. Compounds can be administered either orally via food or drink, or can be delivered by injection. Owing to selective and long-term eGFP expression in CSMN, neuroprotection offered by administered compounds can be studied with respect to disease (Fig. 2), and SMN survival can be assessed using conventional methods, such as Nissl or choline acetyl transferase (ChAT) staining. Such

studies at a cellular level can also be coupled with behavioral analysis that test motor function, such as the rotarod, grip strength and gait analysis (Fig. 3).

## Concluding remarks and prospects

Although it seems as though all clinical trials have failed in ALS and that the future is bleak, there is light at the end of the tunnel. Numerous developments and improvements in critical thinking, analysis and understanding suggest that the near future will witness unprecedented achievements in the field of ALS. First, it has been realized that it is not only the mice, but also the motor neurons in the mouse models that are important for translating biological findings toward clinical trials. This has been a major paradigm shift in thinking, but it came with many challenges. How can one analyze neurons within the complex and heterogeneous structure of the cortex and spinal cord?

SMN were easy to identify based on their size and location in the spinal cord, and most recent preclinical assays have studied their health and stability. However, CSMN were never considered or included in any of the preclinical screens. We hope that this lack of information on CSMN pathology will change in the future. In an effort to reveal the true potential of compounds, their efficacy on the survival of both CSMN and SMN need to be studied. The tools are now available to investigate the biology of CSMN with respect to disease and compound administration. These novel developments will help build improved preclinical screening platforms for compound selection, increasing the probability of success in clinical trials.

Developments in different areas are emerging together towards one goal: building effective drug-treatment strategies for ALS/MND. Improvement of preclinical tests by focusing on the survival needs of both cortical and spinal motor neurons, determining early detection as well as biomarkers for ALS, and improving the design and outcome measures for clinical trials will cumulatively contribute to the identification of compounds that will improve patient health and quality of life. Although the past has not revealed success, it has paved the way for multiple success stories beginning to emerge.

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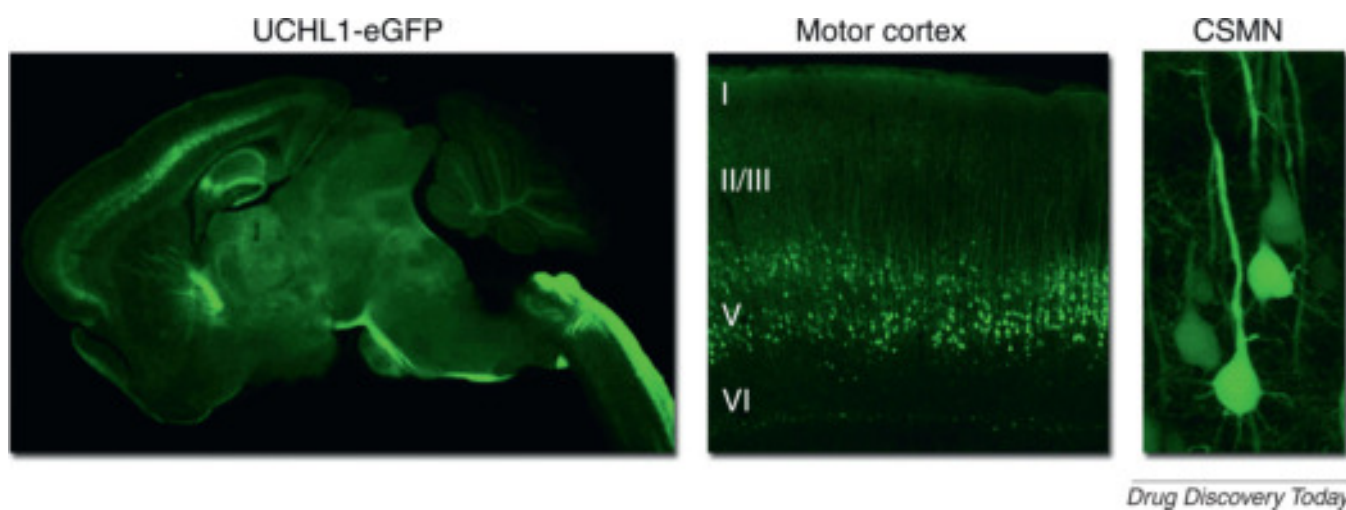
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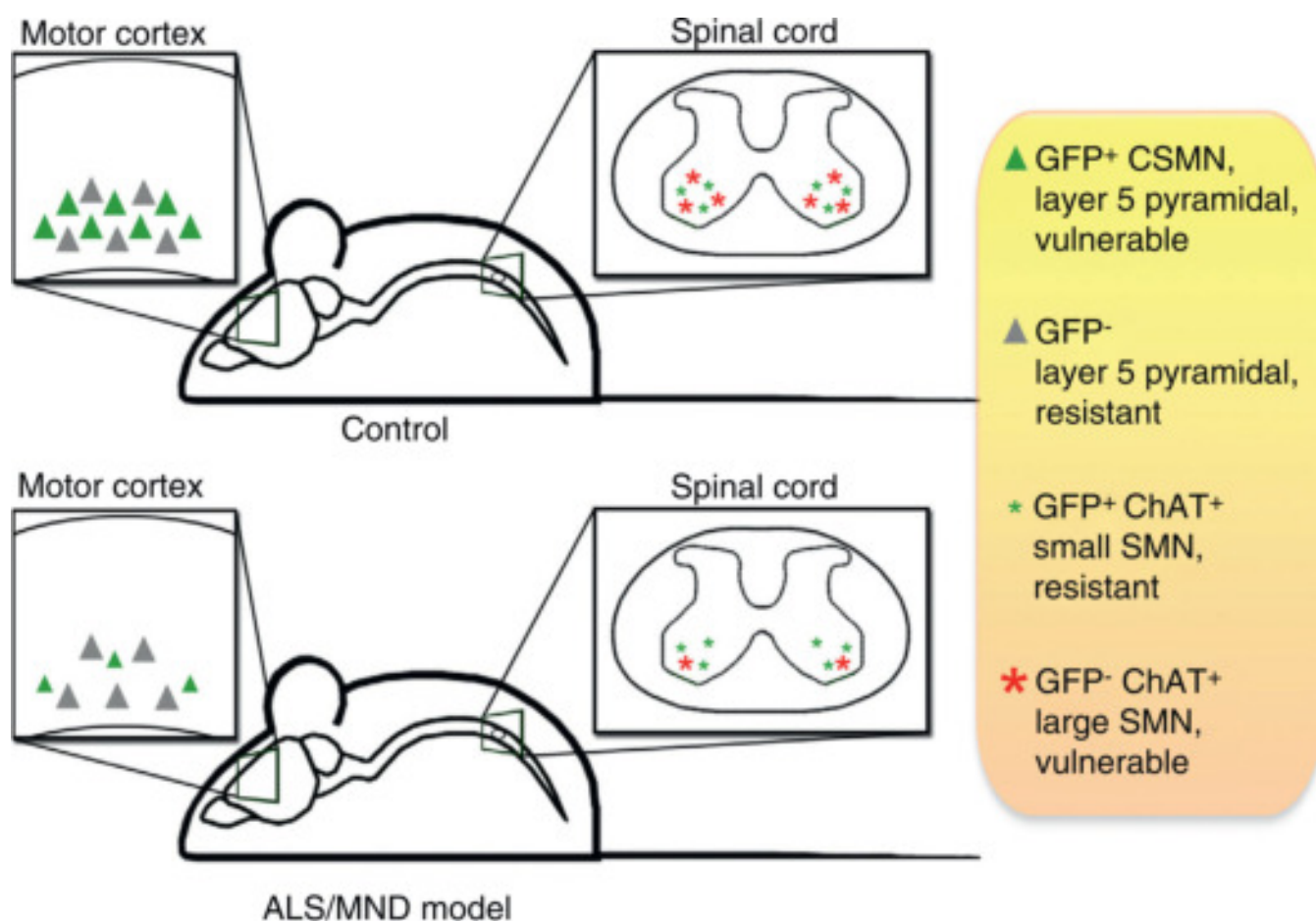
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**FIGURE 1.**

eGFP expression under the control of ubiquitin C-terminal hydrolase-L1 (*UCHL1*) promoter selectively labels corticospinal motor neurons (CSMN) in *UCHL1-eGFP* mice [37]. CSMN are large pyramidal neurons that are located in layer V of the motor cortex. They are subcerebral projection neurons and the corticospinal tract passes through the pons and enters the spinal cord at the pyramidal decussation, traveling at the dorsal funiculus of the spinal cord until it reaches spinal targets.

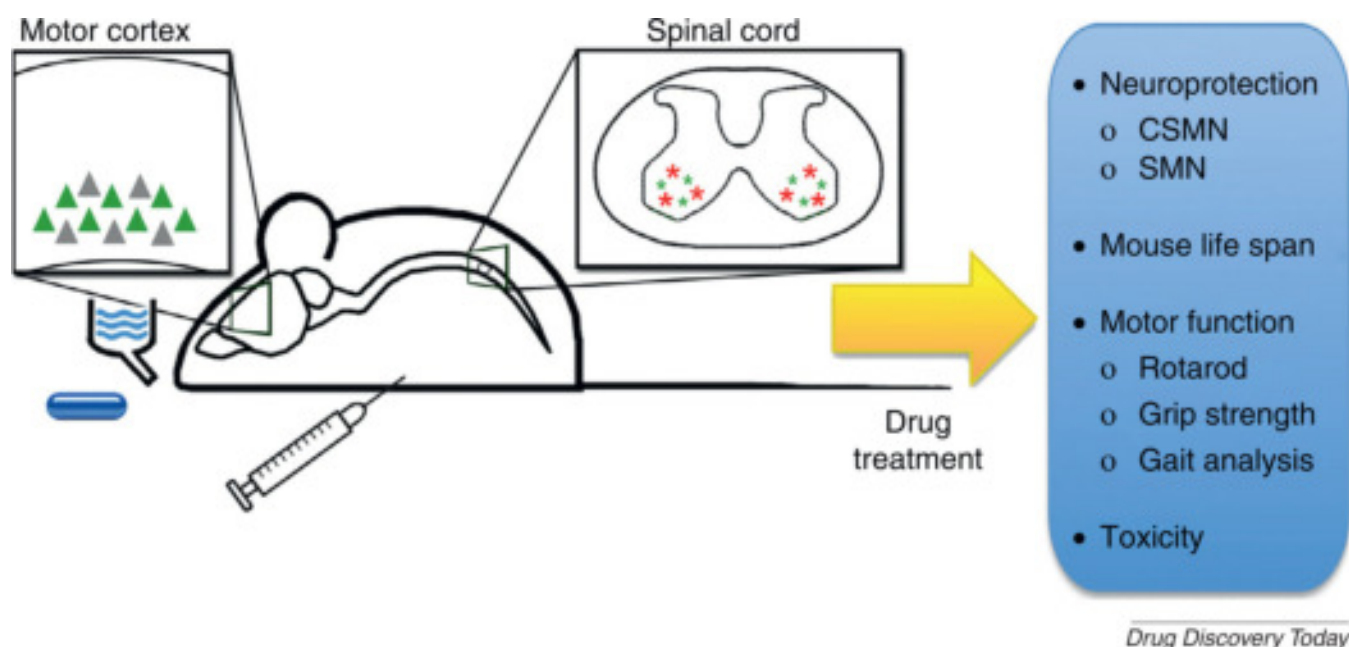


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**FIGURE 2.**

The ubiquitin C-terminal hydrolase-L1 (UCHL1)-eGFP reporter mouse model provides an invaluable tool to study motor neuron degeneration in animal models of amyotrophic lateral sclerosis and related motor neuron disorders (ALS/MND). Upon proper mating strategies, ALS/MND mouse models with eGFP+ corticospinal motor neurons (CSMN) can be generated. In these transgenic disease models, vulnerable CSMN are genetically labeled in the motor cortex (green triangle) and can be visually identified among others that are not genetically labeled (gray triangle). In the spinal cord of UCHL1-eGFP mice, the eGFP expression becomes restricted to small-diameter spinal motor neurons (SMN) at P30 and genetically labels SMN that are mostly resistant to degeneration (green stars). *Abbreviation:* ChAT, Choline acetyl transferase.



**FIGURE 3.**

The ubiquitin C-terminal hydrolase-L1 (UCHL1)-eGFP reporter mouse enables investigation of neuroprotection provided to vulnerable and diseased corticospinal motor neurons (CSMN) by compound and/or drug treatment. The compounds can be administered orally by drinking water or food. Alternatively, they can be directly delivered by intraperitoneal injection. CSMN survival can be monitored and quantitatively analyzed at different stages of the disease, together with other measures for improved motor function (e.g. Grip test or gait analysis). Spinal motor neuron (SMN) neuroprotection can still be studied using conventional methods such as Nissl histology or ChAT immunofluorescence, with the added benefit of visualizing and assessing the numbers of degeneration-resistant SMN separately, distinguished by eGFP expression.

**TABLE 1**  
Summary of preclinical drug trials investigating SMN neuroprotection and their clinical translation

Drug	Life span (days)	Refs	Neuroprotection (SMN number)	Refs	Clinical trial	Refs
<b>Neurotrophins</b>						
IGF-1	+37	[40]	78% increase	[40]	Slowed disease progression	[44]
	+29	[41]	No change	[41]	Safe, but no improvement	[45]
VEGF	+38	[53]	125% increase	[53]	Phase III, no difference	[46]
					Phase I/II completed (NCT00800501 <sup>b</sup> )	
BDNF	n.s. <sup>a</sup>	[52]	No change	[52]	Phase II completed (NCT00748501 <sup>b</sup> )	[48]
	n.s.	[51]			Phase III, (subcutaneous), no improvement	[48]
					Phase III, (intrathecal), no improvement	[50]
<b>Mitochondria</b>						
Creatine	+26	[54]	No change	[54]	Safe, but no improvement	[56]
			No change	[55]	Safe, but no improvement	[57]
<b>Antioxidant</b>						
Ederavone	n.s.	[32]	Significant increase	[32]	Phase II, safe, may delay disease progression	[61]
AEOL 10150	+27	[31]	53% increase	[31]	Phase III, completed (NCT00424463 <sup>b</sup> )	[62]
	+15	[33]	38% increase	[33]	Phase I, well-tolerated	[62]
<b>Protein folding</b>						
Arimoclomol	+28	[30]	74% increase	[30]	Phase IIa, well-tolerated and safe	[63]
	+10	[29]	96% increase	[29]	Phase II/III, recruiting participants (NCT00706147 <sup>b</sup> )	[63]
<b>Autophagy</b>						
Lithium	+38	[65]	No change	[65]	Delayed disease progression	[65]
	-8	[67]	No change in $\alpha$ -SMN	[67]	Not well tolerated, no improvement of disease	[68]
Rapamycin	-19	[70]	34% decrease	[70]	Safe, but no improvement (NCT00818389 <sup>b</sup> )	[69]
<b>Apoptosis</b>						

Drug	Life span (days)	Refs	Neuroprotection (SMN number)	Refs	Clinical trial	Refs
TCH346	n.s.	[72]	No change	[72]	Phase II/III, no improvement	[73]
PBA	+27	[74]	122% increase	[74]	Completed (NCT00107770 <sup>b</sup> )	
	+17	[33]	Significant increase	[33]		
	+16	[91]				
zVAD-fmk	+27	[75]	No change	[75]		
<b>Inflammation</b>						
Celecoxib	+28	[23]	Significant increase	[23]	Safe, but no improvement	[76]
Thalidomide	+21	[24]	Significant increase	[24]	Phase II, no improvement (NCT00140452 <sup>b</sup> )	[78]
Lenalidomide	+24	[24]	Significant increase	[24]		
	+15	[77]	33% increase	[77]		
Minocycline	+21	[26]	Increase	[26]	Phase III, harmful effect on ALS patients (NCT00047723 <sup>b</sup> )	[80]
	+9	[79]	No change	[79]		
	+21 <sup>d</sup>	[25]	No change <sup>c,d</sup>	[25]		
<b>Glutamate</b>						
Riluzole	+13	[27]	No change	[55]	Slowed disease progression and improved survival	[5]
	+13	[92]			Well tolerated and increased survival	[6]
	+9	[91]				
Ceftriaxone	+10	[28]	Significant increase	[28]	Phase III completed (NCT00349622 <sup>b</sup> )	
ZK 187638	+17	[81]	27% increase	[81]		

<sup>a</sup> n.s., not significant.

<sup>b</sup> [ClinicalTrials.gov](http://ClinicalTrials.gov) identifier.

<sup>c</sup> Study used slowly-progressing hSOD1 G37R mice with later end-stage.

<sup>d</sup> Study reported number of SMN axons instead of cell bodies.